

**RECEIVED**  
CENTRAL FAX CENTERIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUG 10 2005

In re Patent Application of:	)	Atty. Docket No.:
Phillips et al	)	78390 (18-82 US)
	)	
Serial No. 10/666,318	)	Art Unit: 2872
	)	
Filing Date: September 18, 2003	)	Examiner:
	)	Leonidas Boutsikaris
Confirmation No. 7905	)	
	)	
For: Patterned Reflective Optical	)	
Structures	)	
	)	

REQUEST CONFIRMATION OF CONSIDERATION OF INFORMATION  
DISCLOSURE STATEMENT PREVIOUSLY SUBMITTEDVIA FACSIMILE NO. 571-273-2308 AND 571-273-8300

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants request confirmation of consideration of a single entry in the IDS initially mailed to the U.S. Patent and Trademark Office on 12 February 2004 and resubmitted with a translation on 20 June 2005. Attached is a copy of the translation as well as a 1449 listing for the Examiner to initial his consideration.

Also attached for the Examiner's consideration is a copy of the IDS previously submitted on 18 March 2005 as well as our return postcard bearing the date the IDS was received by the U.S. Patent Office Mailroom.

Lastly, attached for the Examiner's consideration is a copy of a second IDS previously submitted on 18 March 2005, - art cited in communication from a foreign patent office- and for the Examiner's convenience, a copy of the non-US patent

In re Patent Application of:  
**Phillips et al**  
Serial No. 10/666,318  
Filed: 09/18/2003

---

literature, as well as our return postcard bearing the date the IDS was received by the U.S. Patent Office Mailroom.

It is requested that these references be considered by the Examiner and officially made of record in accordance with the provisions of 37 CFR 1.97 and Section 609 of the MPEP.

Should any questions arise regarding this communication, the Examiner is respectfully requested to telephone the undersigned at the Examiner's convenience.

Respectfully submitted,



---

CHARLES E. WANDS  
Reg. No. 25,649  
Customer No.: 27975

Telephone: (321) 725-4760

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I HEREBY CERTIFY that the foregoing correspondence has been forwarded via facsimile number 571-273-2308 AND 571-273-8300 to the COMMISSIONER FOR PATENTS, this 10 day of August 2005.

Lhove

<b>SUBSTITUTE FORM PTO-1449A</b> <b>LIST OF PATENTS AND</b> <b>APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	
ATTORNEY DOCKET NO.: 78390	
SERIAL NO.: 10/666318	FILING DATE: 09/19/2003
APPLICANT: PHILLIPS ET AL	GROUP: 2672

REFERENCE DESIGNATION	U.S. PATENT DOCUMENTS
-----------------------	-----------------------

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS
--------------------------

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION Yes -- No
	AM	DE4343387	06/29/95	DE			YES
	AN						
	AO						
	AP						
	AQ						

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)
---

A		
R		
A		
S		

EXAMINER:	DATE CONSIDERED:
-----------	------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; * Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
---

AUG. 10. 2005 1:57PM

3219847078 ADDMG

NO. 511 P. 19/33

SERIAL/PATENT NO. 10/666318 FILED/ISSUED Sept 19, 2003

APPLICANT Phillips et al

KINDLY ACKNOWLEDGE RECEIPT OF ACCOMPANYING PAPERS WITH YOUR MAIL ROOM STAMP.

<input checked="" type="checkbox"/> <u>\$</u> check	<input type="checkbox"/> Appellant's Appeal Brief (x3) - \$320 large entry
<input checked="" type="checkbox"/> Authorization - Deposit Account No. <u>50-1465</u>	<input type="checkbox"/> Status Request
<input type="checkbox"/> Utility application <input type="checkbox"/> Provisional application	<input type="checkbox"/> Transmittal of Formal Drawings ( <u>    </u> sheets)
<input type="checkbox"/> <u>    </u> pages, <u>    </u> claims, <u>    </u> drawing sheets	<input type="checkbox"/> Letter to Official Draftsman
<input type="checkbox"/> CPA transmittal <input type="checkbox"/> Continuation transmittal	<input type="checkbox"/> Part B-Issue Fee Transmittal
<input type="checkbox"/> RCE transmittal <input type="checkbox"/> Divisional transmittal	<input type="checkbox"/> Publication Fee Transmittal
<input type="checkbox"/> Certificate of Express Mail Label No. <u>    </u>	<input type="checkbox"/> PCT Request ( <u>    </u> pp.) + Application ( <u>    </u> pp.)
<input type="checkbox"/> Declaration and Power of Attorney	<input type="checkbox"/> National Phase Transmittal Letter
<input type="checkbox"/> Assignment w/Cover Sheet	<input type="checkbox"/> Response to PCT Invitation to Correct Defects
<input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> Ch. II Demand <u>    </u> Power of Attorney
<input type="checkbox"/> Response to Notice to File Missing Parts + copy	<input type="checkbox"/> Revocation of Prior Powers of Attorney and POA
<input type="checkbox"/> Citation Under 37 CFR § 1.97 (ID <u>    </u> )	<input type="checkbox"/> Petition for <u>    </u> + \$130 Fee
<input checked="" type="checkbox"/> Form PTO-1449 + copies of cited references	<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Response to Restriction Requirement	<input type="checkbox"/> Request for Correction to Filing Receipt
<input type="checkbox"/> Response to Election Requirement	<input type="checkbox"/> Request for Correction to Assignment and/or cover
<input type="checkbox"/> Amendment Transmittal Form	<input type="checkbox"/> Transmittal of Certified Copy of Priority Document
<input type="checkbox"/> Amendment (Official Action of <u>    </u> )	<input type="checkbox"/> Preliminary Amendment
<input type="checkbox"/> Request for Extension of Time ( <u>    </u> mos.)	<input type="checkbox"/> Replacement Drawings ( <u>    </u> sheets)
<input type="checkbox"/> Notice of Appeal	<input type="checkbox"/> Request Certificate of Correction + PTO 1050
<u>03/18/05</u> <u>78390</u> <u>CEW</u>	<input type="checkbox"/> Substitute specification (w/marked up version)
DATE	FILE NO.
	ATTORNEY

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE PATENT APPLICATION OF: Phillips et al.      OUR FILE NO: 78390  
(18-82 US)  
SERIAL NUMBER: 10/666,318      GROUP: Unassigned  
FILED: September 18, 2003      EXAMINER: Unassigned  
TITLE: PATTERNED REFLECTIVE OPTICAL STRUCTURES

**Information Disclosure Statement**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith is an Information Disclosure Statement (Form PTO-1449A) in the above-captioned application with references. In accordance with current USPTO procedures published 03 AUG 2003, in 1276 OG 55, copies of the U.S. patent documents cited in the form 1449A are not attached.

**Certification**

\_\_\_\_\_ This Information Disclosure Statement is submitted within three months of:

- (i) the filing date of the above-identified U.S. National Patent application, or
- (ii) the date of entry into the U.S. National Stage of the above-identified International Application, or
- (iii) the date of entry into the U.S. National Stage of the International Application that has been assigned the above-identified U.S. Patent application number, whichever applies.

☒ \_\_\_\_\_ This Information Disclosure Statement is submitted prior to the mailing date of the first Office Action on the merits received by Applicant in the above-identified application.

\_\_\_\_\_ This Information Disclosure Statement is submitted after three months from

- (i) the filing date of the above-identified U.S. National Patent application, or
- (ii) after three months from entry into the U.S. National Stage of the above-identified International Application; or
- (iii) the date of entry into the U.S. National Stage of the International Application that has been assigned the above-identified U.S. Patent application number, whichever applies; and after the mailing date of the first Office Action on the merits of the above-identified application, but prior to issuance of the earlier of any Final Action or Notice of Allowance sent in such application. The certification under 37 C.F.R. § 1.97(e) is submitted separately or below, or the fee required under 37 C.F.R. § 1.97(e) and § 1.17(p) is submitted herewith.

\_\_\_\_\_ This Information Disclosure Statement is submitted after the earlier of the mailing date of a final rejection or Notice of Allowance sent in this application but before payment of the Issue Fee. The certification required under 37 C.F.R. § 1.97(e) is submitted separately or below. A petition to the Commissioner and the appropriate fee pursuant to § 1.17(i) (1) are submitted herewith.

- 2 -

- \_\_\_\_\_ A certification under 37 C.F.R. §1.97 is submitted herewith separately from this paper.
- \_\_\_\_\_ It is hereby certified that each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- \_\_\_\_\_ No item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(e) more than three months prior to the filing of this statement.

Respectfully submitted,



Charles E. Wands  
Registration No: 25,649  
Customer No. 27975

March 18, 2005

Date:

1401 Citrus Center  
255 South Orange Avenue  
Box 3791  
Orlando, Florida  
USA 32802-2791

Tel: (321) 725-4760  
Fax: (321) 984-7078

/mdb

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to: M/S AMENDMENT, COMMISSIONER OF PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, on this 18 day of March, 2005.



<b>Form PTO 1449A</b>  <b>U.S. Department of Commerce</b> <b>Patent and Trademark Office</b>  Information Disclosure Statement by Applicant	<b>ATTY. DOCKET NUMBER:</b> 78390 (18-82 US)	<b>SERIAL NUMBER:</b> 10/666,318
	<b>APPLICANT:</b> PHILLIPS ET AL	
	<b>FILING DATE:</b> SEPTEMBER 18, 2003	<b>GROUP:</b> UNASSIGNED

## U.S. Patent Documents

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	PRIOR ART
	6,692,830	Feb 17, 2004	Argoitia et al	428	403	
	6,749,777	Jun 15, 2004	Argoitia et al	252	582	
	6,761,959	July 13, 2004	Bonkowski et al	428	156	
	20040081807	Apr 29, 2004	Bonkowski et al	428	195.1	
	20040094850	May 20, 2004	Bonkowski et al	264	1.34	
	20040105963	Jun 3, 2004	Bonkowski et al	428	195.1	
	11/047,389	Jan 31, 2005	Phillips et al			

## Foreign Patent Documents

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						Yes	No

## Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)

EXAMINER:		DATE CONSIDERED:
EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP 609; draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant		

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE PATENT APPLICATION OF: Phillips et al.	OUR FILE NO:	78390 (18-82 US)
SERIAL NUMBER: 10/666,318	GROUP:	Unassigned
FILED: September 18, 2003	EXAMINER:	Unassigned
TITLE: PATTERNED REFLECTIVE OPTICAL STRUCTURES		

### **Information Disclosure Statement**

**Mail Stop Amendment**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

Dear Sir:

Transmitted herewith is an Information Disclosure Statement (Form PTO-1449A) in the above-captioned application with references. Copies of the published applications as well as pending application are provided for the Examiner's convenience. In accordance with current USPTO procedures published 05 AUG 2003, in 1276 OG 55, copies of the U.S. patent documents cited in the form 1449A are not attached.

### Certification

                     This Information Disclosure Statement is submitted within three months of:

- (i) the filing date of the above-identified U.S. National Patent application, or
- (ii) the date of entry into the U.S. National Stage of the above-identified International Application, or
- (iii) the date of entry into the U.S. National Stage of the International Application that has been assigned the above-identified U.S. Patent application number, whichever applies.

\_\_\_\_\_ This Information Disclosure Statement is submitted prior to the mailing date of the first Office Action on the merits received by Applicant in the above-identified application.

**This Information Disclosure Statement is submitted after three months from**

- (i) the filing date of the above-identified U.S. National Patent application, or
- (ii) after three months from entry into the U.S. National Stage of the above-identified International Application; or
- (iii) the date of entry into the U.S. National Stage of the International Application that has been assigned the above-identified U.S. Patent application number, whichever applies; and after the mailing date of the first Office Action on the merits of the above-identified application, but prior to issuance of the earlier of any Final Action or Notice of Allowance sent in such application. The certification under 37 C.F.R. §1.97(e) is submitted separately or below, or the fee required under 37 C.F.R. §1.97(c) and § 1.17(p) is submitted herewith.

This Information Disclosure Statement is submitted after the earlier of the mailing date of a final rejection or Notice of Allowance sent in this application but before payment of the Issue Fee. The certification required under 37 C.F.R. § 1.97(e) is submitted separately or below. A petition to the Commissioner and the appropriate fee pursuant to § 1.17(i) (1) are submitted herewith.



- 2 -

\_\_\_\_\_ A certification under 37 C.F.R. §1.97 is submitted herewith separately from this paper.

X\_\_\_\_\_ It is hereby certified that each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

\_\_\_\_\_ No item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this statement.

Respectfully submitted,



Charles E. Wands  
Registration No: 25,649

1401 Citrus Center  
255 South Orange Avenue  
Box 3791  
Orlando, Florida  
USA 32802-2791

Tel: (321) 725-4760  
Fax: (321) 984-7078

/mdb

March 18, 2005

Date: \_\_\_\_\_

#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to: M/S AMENDMENT, COMMISSIONER OF PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, on this 18 day of March, 2005.

Done

<b>Form PTO 1449A</b>  <b>U.S. Department of Commerce</b> <b>Patent and Trademark Office</b>  Information Disclosure Statement by Applicant	<b>ATTY. DOCKET NUMBER:</b> 78390 (18-82 US)		<b>SERIAL NUMBER:</b> 10/666,318
	<b>APPLICANT:</b> PHILLIPS ET AL		
	<b>FILING DATE:</b> SEPTEMBER 18, 2003		<b>GROUP:</b> UNASSIGNED

## U.S. Patent Documents

EXAMINER SERIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILED APP
	5,128,779	Jul 7, 1992	Mallik	359	2	
	5,142,383	Aug 25, 1992	Mallik	359	2	
	5,815,292	Sep 29, 1998	Walters	359	2	

## Foreign Patent Documents

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 02/00446	Jan 3/02	WO	B42D	15/10		

## Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)

EXAMINER		DATE CONSIDERED	
EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP 609; draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant			